3 0 NOV 2007 EXPRESS MAIL LABEL NO.: DATE: November 30, 2001

FORM PTO 1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 11-2000)	• • • • • • • • • • • • • • • • • • •							
TRANSMITTAL LETTER TO THE UNITED STATES	SONYJP-153							
DESIGNATED/ELECTED OFFICE (DO/EO/US)	US APPLICATION NO. (If known, see 37 CFR 1.5)							
CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO INTERNATIONAL FILING DATES	PRIORITY DATE CLAIMED							
PCT/JP01/03004 6 April 2001	6 April 2000							
TITLE OF INVENTION INFORMATION RECORDING/PLAYBACK APPARATUS AND METHOD								
APPLICANT(S) FOR DO/EO/US Tomoyuki Asano, Yoshitomo Osawa, Tateo Oishi, Ryuta Taki, Ryuji Ishiguro, and								
Atsushi Mitsuzawa Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. x This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
This is a SECOND or SUBSEQUENT submission of items concerning a filing 35 U.S.C. 371								
3. x This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.								
The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).								
5. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2))								
a. a sattached hereto (required only if not communicated by the International Bureau).								
b. x has been communicated by the International Bureau.	b. x has been communicated by the International Bureau.							
c. s not required, as the application was filed in the United States Rece	iving Office (RO/US).							
6. x An English language translation of the International Application as filed	(35 U.S.C. 371 (c)(2)).							
a. x is attached hereto.								
b. has been previously submitted under 35 U S.C. 154(d)(4).								
7. x Amendments to the claims of the International Application under PCT A	rticle 19 (35 U.S C. 371 (c)(3))							
a. are attached hereto (required only if not communicated by the International areas attached hereto) are attached hereto (required only if not communicated by the International areas attached hereto).	ational Bureau).							
b have been communicated by the International Bureau.	ŕ							
d. x have not been made and will not be made.	•							
8. An English language translation of the amendments to the claims under	PCT Article 19 (35 U.S.C. 371 (c)(3))							
9. x An oath or declaration of the inventor(s) (35 U.S.C 371 (c)(4)). (unexecuted) 10 An English language translation of the annexes to the International Preliminary Examination Report under PCT								
Article 36 (35 U.S.C. 371 (c)(5)).								
Items 11 to 20 below concern document(s) or information included:								
11. x An Information Disclosure Statement under 37 CFR 1.97 and 1.98. w/P.	ΓO-1449, 12 references							
12. An assignment document for recording. A separate cover sheet in compl	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
. A FIRST preliminary amendment.								
14. A SECOND or SUBSEQUENT preliminary amendment.	I. A SECOND or SUBSEQUENT preliminary amendment.							
15. A substitute specification.	A substitute specification.							
16. A change of power of attorney and/or address letter.								
17. A computer-readable form of the sequence listing in accordance with PC								
18 A second copy of the published international application under 35 U.S.C. 154(d)(4).								
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
	, , , , , ,							
20. x Other items or information: Copy of PCT Request (in Japanese), Thirty-Seven (37) Sheets of Formal Drawings, Copy of Face page of published application								
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U.S APPLICATION NO (IF Known	CORLS)	INTERNATIONAL APPLICATION NO PCT/JP01/03004			ATTORNEY'S DOCKET NUMBER SONYJP-153			
21. x The following	ig fees are submitted:	1 01101 01100004			CALCULATIONS PTO USE ONLY			
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)):								
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO . \$1040 00								
International preliminary examination fee (37 CFR 1 482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890 00								
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international scarch fee (37 CFR 1.445(a)(2)) paid to USPTO								
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)								
International preliminary examination fee (37 CFR 1 482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)								
ENTER	APPROPRIATE BA	SIC FEE AMOUNT =			\$	890.00		
Surcharge of \$ for furnishing the oath or declaration later than			\$					
20 30 months	20 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				_			
CLAIMS	NUMBER FILED	NUMBER EXTRA		RATE	<u> </u>			
Total claims	100-20	80	х	18.00	\$	1,440.00		
Independent claims	17-3 =	14		84.00	\$ \$	1,176.00		
MULTIPLE DEPENDE		L OF ABOVE CALC		ONS -	\$	3,506.00		
Applicant claims si					\$	0,000.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		1_						
		S	UBTO	TAL =	\$	3,506.00		
Processing fee of \$	for furni	shing the English transla	tion lat	er than	s			
20 30 month	s from the earliest claim	ed priority date (37 CFR	1.492	(f)). +				
		TOTAL NATI	ONAL	FEE =	s	3,506.00		
Fee for recording the end			nent		П			
must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31) + (per property).			S					
		TOTAL FEES E	NCLO	OSED =	\$	3;506.00		
						Amount to be Refunded:	\$	
						Charged:	\$	
a. A check in the amount of \$ to cover the above fees is enclosed.								
b. x Please charge my Deposit Account No. 12-1095 in the amount of \$ 3,506.00								
to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. x The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to my Deposit Account No. 12-1095 . A duplicate copy of this sheet is enclosed.								
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.								
1/1/2 X-1 -								
SEND ALL CORRESPONDENCE TO LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP SIGNATURE: Relating Colors Relating Colors								
Robert B. Conen								
Westfield, New Jerse		ſ	NAME					
(908) 518-6316		32,768						
	REGISTRATION NUMBER							